UNIFI CAPITAL
Investing With Conviction

## Complaint Data for RESEARCH ANALYST

**Data for the month ending – October 31st , 2026**

| Sr.No. | Received From | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending Complaints > 3 months | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | NIL | NIL | N.A | NIL | NIL | N.A |
| 2 | SEBI (SCORES) | NIL | NIL | N.A | NIL | NIL | N.A |
| 3 | Other Sources (if any) | NIL | NIL | N.A | NIL | NIL | N.A |
| | Grand Total | NIL | NIL | N.A | NIL | NIL | N.A |

Number of complaints received during month against the RA due to impersonation by some other entity: NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

### Trend of monthly disposal of complaints

| Sr.No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---|---|---|---|---|---|
| 1 | April 2026 | NIL | NIL | NIL | NIL |
| 2 | May 2026 | NIL | NIL | NIL | NIL |
| 3 | June 2026 | NIL | NIL | NIL | NIL |
| 4 | July 2026 | NIL | NIL | NIL | NIL |
| 5 | August 2026 | NIL | NIL | NIL | NIL |
| 6 | September 2026 | NIL | NIL | NIL | NIL |
| 7 | October 2026 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

* Inclusive of complaints of previous months resolved in the current month
# Inclusive of complaints pending as on the last day of the month

## Trend of annual disposal of complaints

| Sr.No. | Year | Carried forward from previous month | Received | Resolved* | Pending# |
|---|---|---|---|---|---|
| 1 | 2023 - 24 | NIL | NIL | NIL | NIL |
| 2 | 2024 - 25 | NIL | NIL | NIL | NIL |
| 3 | 2025 - 26 | NIL | NIL | NIL | NIL |
| 4 | 2026- 27 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

* Inclusive of complaints of previous years resolved in the current year
# Inclusive of complaints pending as on the last day of the year